



IFW

2611

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application No.	09/823,400
		March 29, 2001	
		First Named Inventor	Jay H. Connelly
		Art Unit	2611
		Examiner Name	Christopher Lambrecht
Total Number of Pages in This Submission:		Attorney Docket Number	
		42390P10860	

### ENCLOSURES (check all that apply)

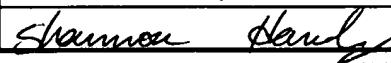
<input checked="" type="checkbox"/> Fee Transmittal Form  <input checked="" type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> PTO/SB/08 <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):	<div style="border: 1px solid black; padding: 5px;">           1) Return Post Card            2) Check No.: 2189 for \$180.00            3) Copies of 2 cited references         </div>	Remarks	

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Gregory D. Caldwell, Reg. No. 39,926  BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	October 10, 2006

### CERTIFICATE OF MAILING/TRANSMISSION

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Typed or printed name	Shannon A. Handy		
Signature		Date	October 10, 2006

Based on PTO/SB/21 (09-04) as modified by Blakey, Sokoloff, Taylor & Zafman (wtr) 11/30/2005.  
 SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

JAY H. CONNELLY

Application No.: 09/823,400

Filed: March 29, 2001



Art Group: 2611

Examiner: Christopher Lambrecht

For: **SYSTEM AND METHOD FOR MERGING  
STREAMING AND STORED CONTENT  
INFORMATION IN AN ELECTRONIC  
PROGRAM GUIDE**

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted after mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

10/16/2006 ZJUHAR1 00000054 09823400

01 FC:1806

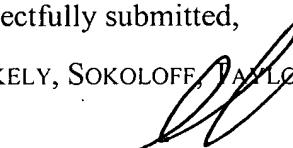
180.00 0P

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: October 10, 2006

  
Gregory D. Caldwell, Reg. No. 39,926

12400 Wilshire Boulevard, 7th Floor  
Los Angeles, CA 90025  
Telephone: (503) 439-8778

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Shannon A. Handy  
Date 10-10-06



# FEET TRANSMITTAL for FY 2005

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

**TOTAL AMOUNT OF PAYMENT** (\$)

180.00

#### Complete if Known

Application Number	09/823,400
Filing Date	March 29, 2001
First Named Inventor	Jay H. Connelly
Examiner Name	Christopher Lambrecht
Art Unit	2611
Attorney Docket No.	42390P10860

#### METHOD OF PAYMENT (check all that apply)

Check  Credit card  Money Order  None  Other (please identify): \_\_\_\_\_

Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

Charge fee(s) indicated below  Charge fee(s) indicated below, except for the filing fee

Charge any additional fee(s) or underpayment of fee(s)  Credit any overpayments  
under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

#### FEE CALCULATION

Large Entity      Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
2053	130	2053	130	Non-English specification	
1251	120	2251	60	Extension for reply within first month	
1252	450	2252	225	Extension for reply within second month	
1253	1,020	2253	510	Extension for reply within third month	
1254	1,590	2254	795	Extension for reply within fourth month	
1255	2,160	2255	1,080	Extension for reply within fifth month	
1401	500	2401	250	Notice of Appeal	
1402	500	2402	250	Filing a brief in support of an appeal	
1403	1,000	2403	500	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180.00
1809	790	1809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify) _____					
SUBTOTAL (2) (\$)					180.00

Complete (if applicable)

Name (Print/Type)	Gregory D. Caldwell	Registration No. (Attorney/Agent)	39,926	Telephone	(503) 439-8778
Signature				Date	10/10/06

Based on PTO/SB/17 (12-04) as modified by Blakely, Sokoloff, Taylor & Zafman (wlr) 12/15/2004.  
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**Substitute for form 1449A/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(use as many sheets as necessary)*

## Sheet

1 of 5

5

<i>Complete if Known</i>	
Application Number	09/823,400
Filing Date	March 29, 2001
First Named Inventor	Jay H. Connelly
Art Unit	2611
Examiner Name	Christopher Lambrecht
Attorney Docket Number	42390P10860

## U.S. PATENT DOCUMENTS

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
1	US-4,546,382		10-08-1985	McKenna, et al.	
2	US-4,566,030		01-21-1986	Nickerson, et al.	
3	US-4,624,578		11-25-2086	Green	
4	US-5,227,874		07-13-1993	Von Kohorn	
5	US-5,388,211		12-07-1995	Hornbuckle	
6	US-5,530,082		07-25-1996	Friebe, et al.	
7	US-5,544,161		08-06-1996	Bigham, et al.	
8	US-5,600,573		02-04-1997	Hendricks, et al.	
9	US-5,734,890		03-31-1998	Case, et al.	
10	US-5,740,549		04-14-1998	Reilly, et al.	
11	US-5,758,257		05-26-1998	Herz, et al.	
12	US-5,801,747		09-01-1998	Bedard	
13	US-5,848,396		12-08-1998	Gerace	
14	US-5,940,073		08-17-1999	Klosterman, et al.	
15	US-5,974,398		10-26-1999	Hanson, et al.	
16	US-5,991,735		11-23-1999	Gerace	
17	US-5,999,908		12-07-1999	Abelow	
18	US-6,012,051		01-04-2000	Sammon, Jr., et al.	
19	US-6,018,359		01-25-2000	Kermode, et al.	
20	US-6,226,618 B1		05-01-2001	Downs, et al.	

## FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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<p>Substitute for form 1449A/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p>				<p><i>Complete if Known</i></p>	
Sheet	2	of	5	Application Number	09/823,400
				Filing Date	March 29, 2001
				First Named Inventor	Jay H. Connelly
				Art Unit	2611
				Examiner Name	Christopher Lambrecht
				Attorney Docket Number	42390P10860

## NON PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered
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Substitute for form 1449A/PTO		<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		Application Number	09/823,400
		Filing Date	March 29, 2001
		First Named Inventor	Jay H. Connelly
		Art Unit	2611
		Examiner Name	Christopher Lambrecht
		Attorney Docket Number	42390P10860
Sheet	3	of	5

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
23	US-6,271,893		08-07-2001	Kawaguchi, et al.	
24	US-6,463,585		10-08-2002	Hendricks, et al.	
25	US-6,513,014		01-28-2003	Walker, et al.	
26	US-6,625,716 B2		09-23-2003	Fackenthal	
27	US-6,642,862 B2		11-04-2003	Boudry	
28	US-6,668,246 B1		12-23-2003	Yeung, et al.	
29	US-6,782,476 B1		08-24-2004	Ishibashi	
30	US-6,801,936 B1		10-05-2004	Diwan	
31	US-6,923,911 B1		08-02-2005	Beier, et al.	
32	US-7,020,893 B2		03-28-2006	Connelly	
33	US-7,024,681 B1		04-04-2006	Fransman, et al.	
34	US-7,028,071 B1		04-11-2006	Slik	
35	US-7,047,456 B2		05-16-2006	Jutzi	
36	US-7,055,165 B2		05-30-2006	Connelly	
37	US-09/532,034		03-22-2000	Connelly	
38	US-09/533,024		03-22-2000	Connelly	
39	US-09/533,048		03-22-2000	Connelly	
40	US-09/717,579		11-21-2000	Jutzi	
41	US-2002/0073245 A1		06-13-2002	Hallford	
42	US-2002/0143591 A1		10-03-2002	Connelly	

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Sheet	4	of	5	Application Number	09/823,400
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				Attorney Docket Number	42390P10860

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		Number - Kind Code <sup>2</sup> (if known)			
43	US-2002/0143607 A1	10-03-2002	Connelly		
44	US-2002/0144265 A1	10-03-2002	Connelly		
45	US-2002/0144269 A1	10-03-2002	Connelly		
46	US-2002/0145052 A1	10-10-2002	Cessac		
47	US-2002/0152474 A1	10-17-2002	Dudkiewicz		
48	US-2002/0162121 A1	10-31-2002	Mitchell		
49	US-2002/0167947 A1	11-14-2002	Hallford, et al.		
50	US-2002/0193066 A1	12-19-2002	Connelly		
51	US-2002/0194585 A1	12-19-2002	Connelly		
52	US-2002/0194603 A1	12-19-2002	Connelly		
53	US-2003/0005311 A1	01-02-2003	Kajimura, et al.		
54	US-2003/0005438 A1	01-02-2003	Crinon, et al.		
55	US-2003/0005441 A1	01-02-2003	Connelly		
56	US-2003/0005465 A1	01-02-2003	Connelly		
57	US-2003/0016673 A1	01-23-2003	Pendakur, et al.		
58	US-2003/0046633 A1	03-06-2003	Jutzi		
59	US-2003/0046683 A1	03-06-2003	Jutzi		
60	US-2003/0058933 A1	03-27-2003	Jutzi		
61	US-2003/0061611 A1	03-27-2003	Pendakur		
62	US-2003/0065520 A1	04-03-2003	Jutzi, et al.		

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Examiner Signature		Date Considered
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Sheet	5	Filing Date	March 29, 2001
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		Art Unit	2611
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